Methodological considerations for tDCS

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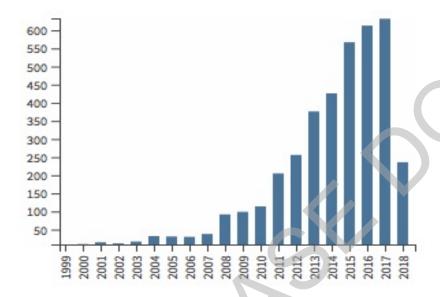


A technical guide to tDCS, and related non-invasive brain stimulation tools

A.J. Woods^{a,} ▲ · ➡, A. Antal^b, M. Bikson^c, P.S. Boggio^d, A.R. Brunoni^e, P. Celnik^f, L.G. Cohen^g, F. Fregni^h, C.S. Herrmannⁱ, E.S. Kappenmanⁱ, H. Knotkova^k, D. Liebetanz^b, C. Miniussi^l, P.C. Miranda^m, W. Paulus^b, A. Prioriⁿ, D. Reato^c, C. Stagg^{o, p}, N. Wenderoth^q, M.A. Nitsche^{b, r, s}

Motivation

- tDCS is
 - increasingly applied
 - Seemingly simple tool
 - Inappropriate use can lead to frustrating results
- Not all practically relevant information readily available



Overview

• Devices and application

Protocols

• Physiological effects

 Functional effects in healthy humans and patients

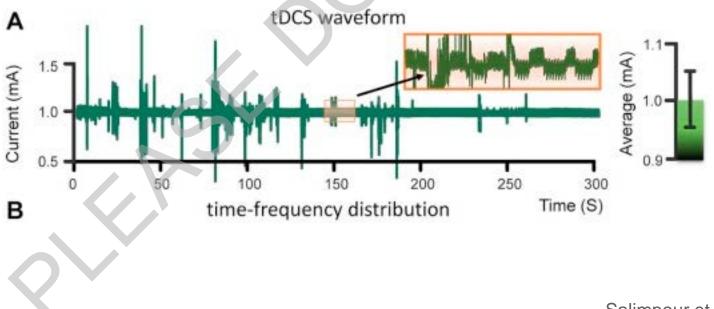


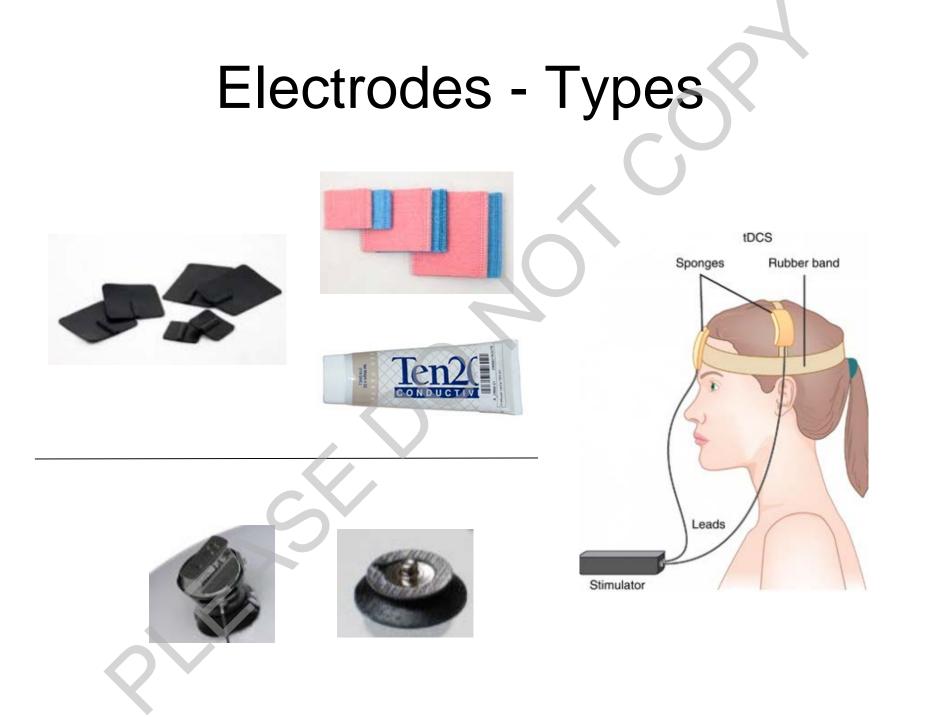
Devices II

✓ Numerous CE-certified devices available

 ✓ Different characteristics (MRI-suited, multiple channel, wireless, simultaneous EEG, home-use units, range of stimulation modes)

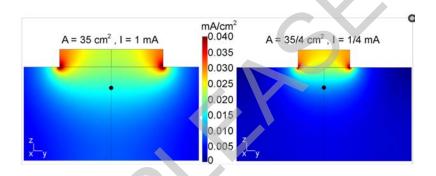
✓ test for appropriate current flow!





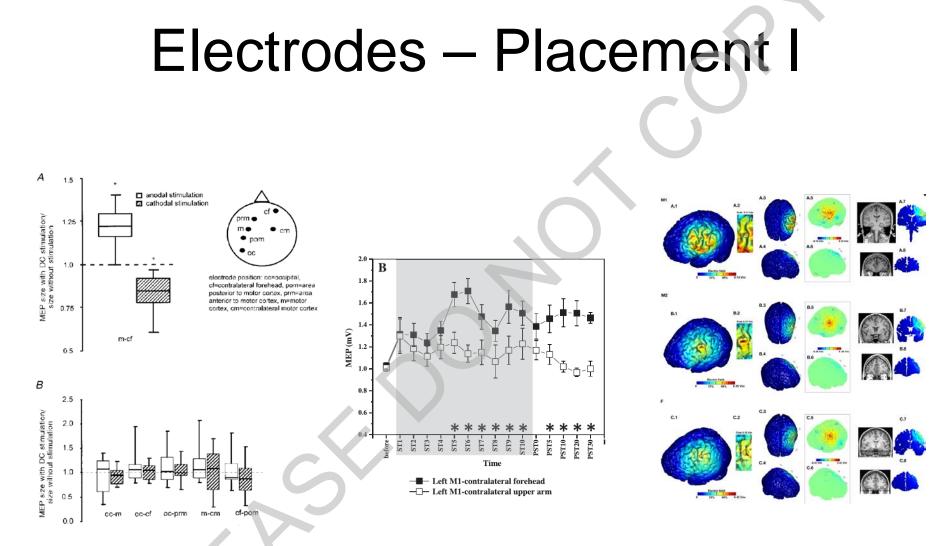
Electrodes – Contact Medium



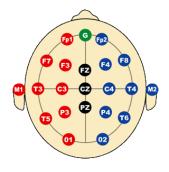


- Saline and cream are suitable
 - Saline: not too wet and not too dry...
- Cream: sufficiently thick film
- Electrode shape and distance are relevant

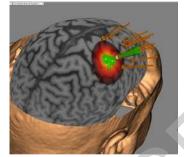
Miranda et al. 2009, Palm et al. 2014



Electrodes – Placement II



• Standard systems (e.g. 10 20 EEG)



 Neuronavigation (MRI-based)

Physiology-based

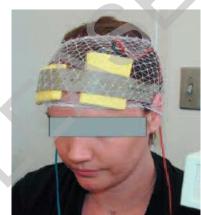
Electrodes – Placement III





- Not too tight
- Not too loose
- Not too wet
- Not too dry
- Constant position
- Not too close





Conclusions - Devices

- Different devices for different needs
 available
- Make sure that stimulators deliver current as expected!
- Electrodes come in different shapes and designs
- Saline solution and cream/gel suited
- Take care for constant and correct positioning!

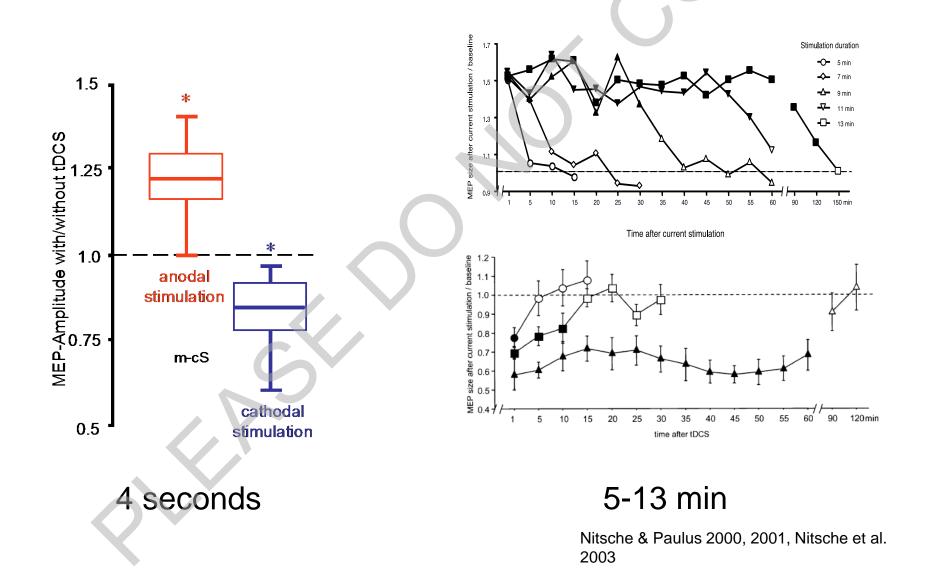
Stimulation protocols

Stimulation duration and intensity

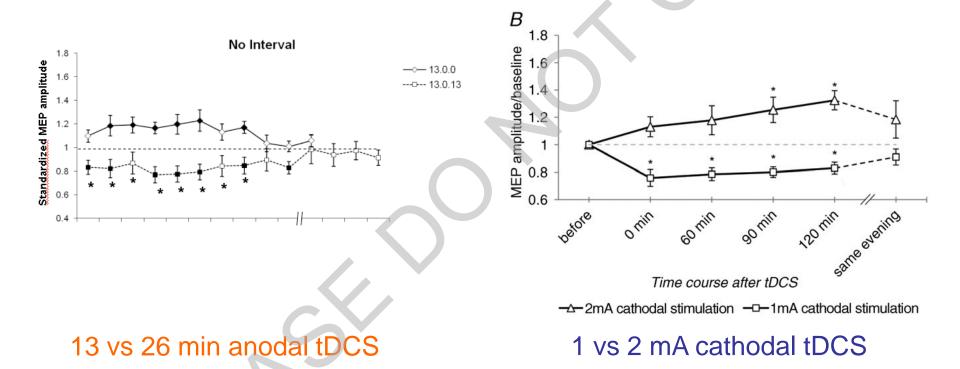
Focality of stimulation

- Blinding
- Safety

Stimulation duration



Stimulation duration and intensity



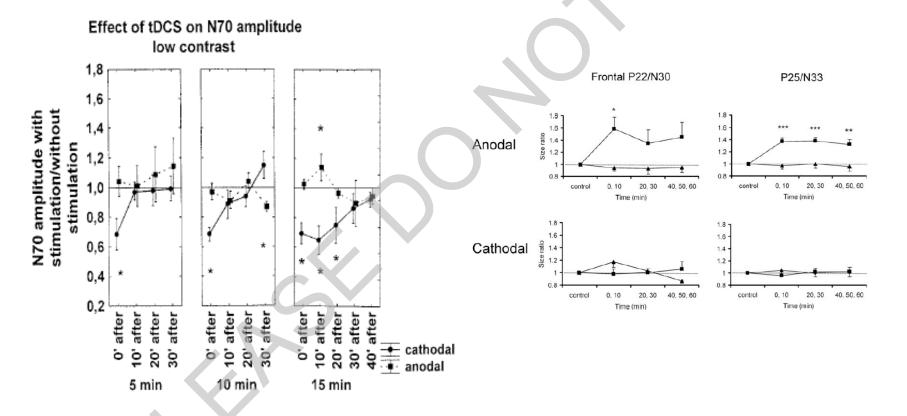
Longer and stronger is not always better

Batsikadze et al. 2013, Monte-Silva et al. 2013

Transferability to other cortices?

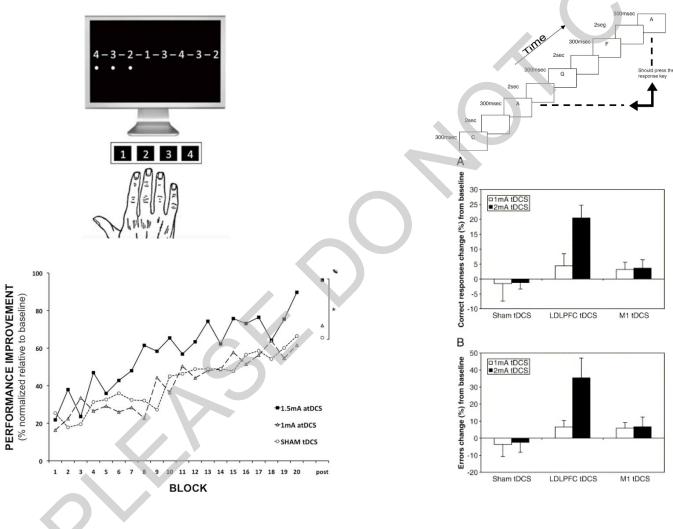
Visual cortex

Somatosensory cortex



Antal et al. 2004, Matsunaga et al. 2004

Shaping effects of tDCS by systematic protocol adaptation

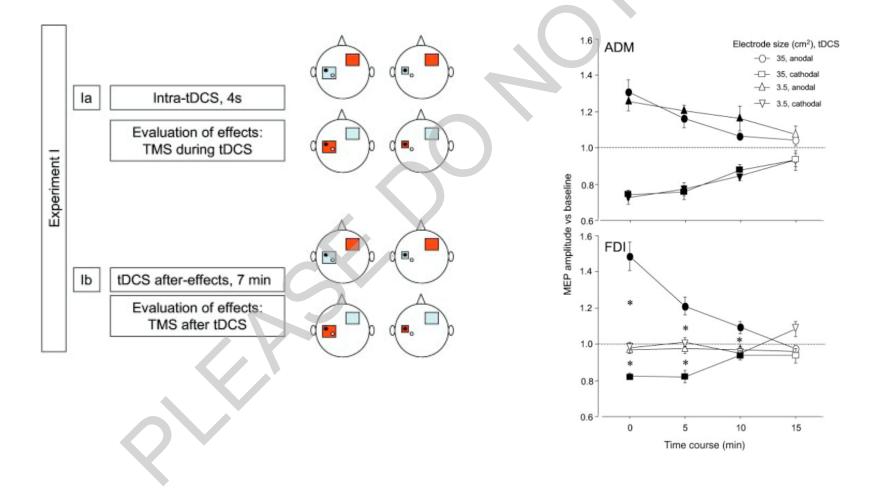


Cuypers et al. 2013, Boggio et al. 2006

Conclusion Protocols I

- Protocols inducing acute and after-effects available
- Longer and stronger stimulation does not always increase efficacy
- Repetition can result in bidirectional interference effects
- Not identical effects in all areas
- Titration of effects preferable for new areas

Focalizing by reducing the size of the stimulation electrode



Focalizing by use of an extracephalic return electrode?

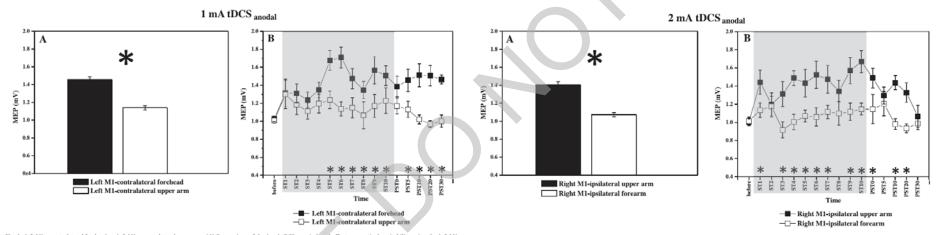
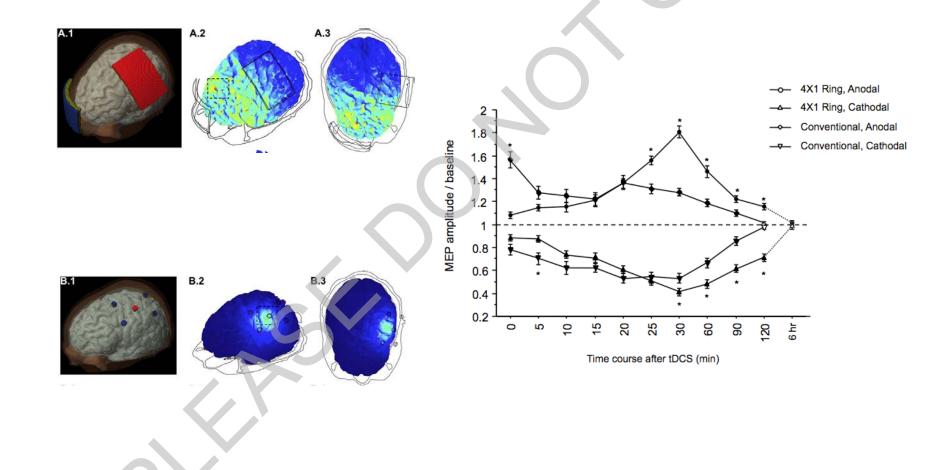


Fig. 1. Left MI – contralateral forehead vs. Left MI – contralateral upper arm. (A) Comparison of the 1 mA tDCS_{anodat} induced effects on cortical excitability using the Left MI – contralateral forehead vs. Left MI – contralateral upper arm electrode montage. The bar graph shows the mean MEP value from STI to PST30, averaged over 9 subjects. Error bars indicate standard errors. An asterisk indicates P < 0.05. (B) The figure shows mean amplitudes of MEPs and their SEMs during 10 min of stimulation and up to 30 min after stimulation (9 subjects). Significantly increased MEPs were observed in Left MI – contralateral forehead montage at the STS-STI0 and PSTS-PST30 time points compared to the corresponding time points using the Left MI – contralateral lopre m montage (P < 0.05).

Fig. 4. Right M1 – ipsilateral upper arm vs. Right M1 – ipsilateral forearm. (A) Comparison of the 2 mA USS_meta, induced effects on cortical excitability using the Right M1ipsilateral upper arm vs. Right M1-ipsilateral forearm electrode montage. The bar graph shows the mean MEP value from ST1 to PST30, averaged over 7 subjects. Error bars indicate standard errors. An asterisk indicates P < 0.05. (B) The figure shows mean amplitudes of MEPs and their SEMs during 10 min and after stimulation up to 30 min. (7 subjects). Significantly increased MEPs were observed in Right M1 ipsilateral upper arm montage at the ST1, ST3-ST7, ST9-ST10, PST0, and PST10-PST20 time points compared to the corresponding time points using the Right M1 – ipsilateral upper arm montage (N = 0.05).

Focalizing by modification of electrode shape?



Kuo et al., 2013

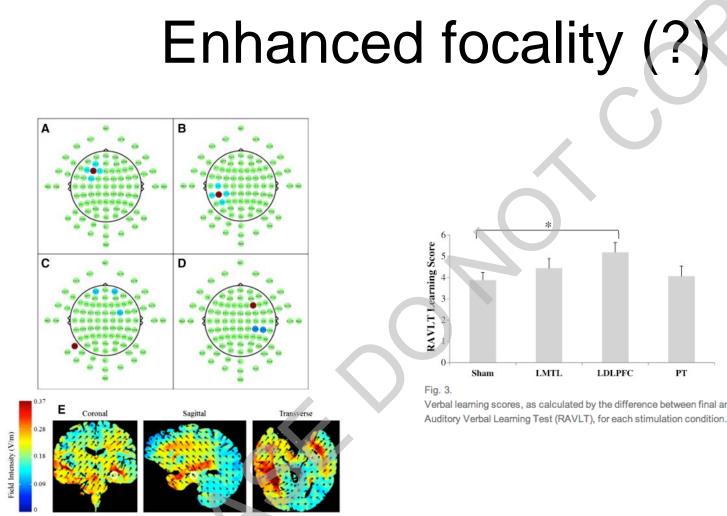
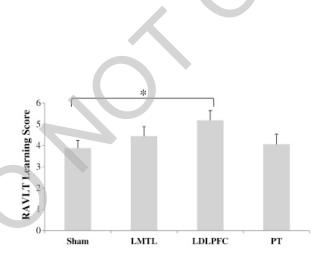


Fig. 1.

Montages used during stimulation, derived from HDExplore ™ and HDTargets ™ software: A, anodal stimulation to the LDLPFC (anode: F3; cathodes: AF3, F5, FC, FC3). B, Anodal stimulation to the PT (anode: Cp5; cathodes: C5, TP7, Cp3, P5). O, Electrode configuration resulting in anodal stimulation of the LMTL (anode: P9; cathodes: Fp1, Fp2, FC4); D, sham montage (anode: F4; cathodes: Cp4, Cp6). E, Model simulation using HDExplore™ of the pattern of current strength associated with the LMTL montage designed to maximally stimulate the left hippocampus.

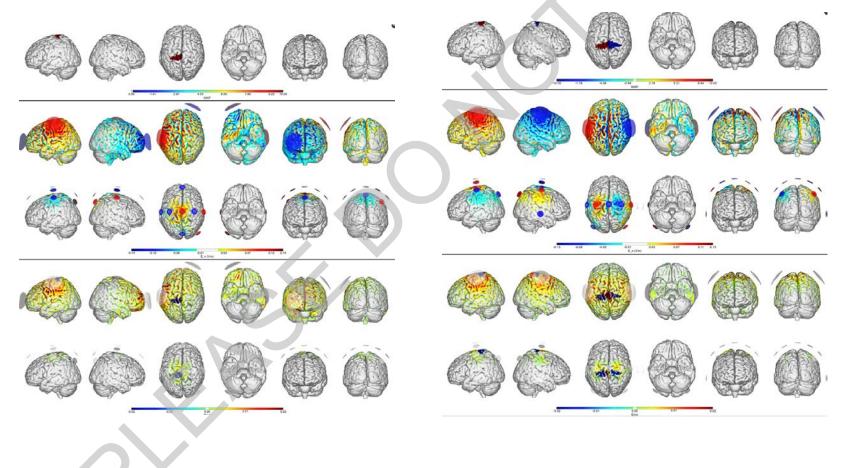


Verbal learning scores, as calculated by the difference between final and initial blocks of the Rey's Auditory Verbal Learning Test (RAVLT), for each stimulation condition.*p < .05.

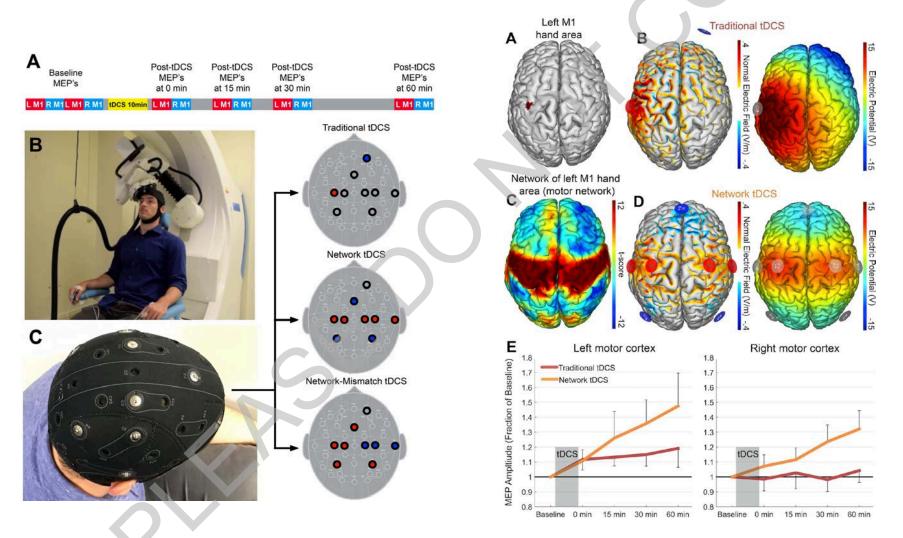
New multi-electrode approach

"monopolar"

"bipolar"



Increasing the efficacy of tDCS by network stimulation



Fischer et al., 2017

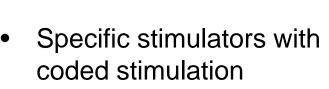
Conclusion Protocols II

- Focality of tDCS can be increased
- ...by altering electrode size
- ...by altering electrode configuration
- ...by altering electrode position
- Application-dependent usefulness
- Physiological alterations induced by these alternative protocols not sufficiently explored so far in each case

Blinding of stimulation



- Ramping of stimulation
- Reliable blinding at 1 mA
- Might be not reliable for stronger stimulation
- Might be not reliable for repetitive sessions
- Reduction of tingling sensation by local anesthetics
- Active control



- One experimenter only conducts stimulation
- Reduction of stimulation-generated erythema with ketoprofen

Safety vs tolerability

Safety: induction of structural or functional damage

Tolerability: unintended or uncomfortable effects without damage

Safety and tolerability of tDCS I

- No NSE enhancement
- No brain edema

No structural damage

Adverse effects of tDCS during stimulation in different g	roups of participants
---	-----------------------

Participants	Tingling			Itching sensation			Burning sensation		Pain		Headache			Fatigue			Difficulties in concentrating				
	N	%	Mean intensity	N	%	Mean intensity	N	%	Mean intensity	N	%	Mean intensity	N	%	Mean intensity	N	%	Mean intensity	N	%	Mean intensity
Migraine patients	6	66.7	1.67 ± 0.82	1	11.1	2.0 ± 0	2	22.2	2.0 ± 1.41	1	11.1	2.0 ± 0	1	11.1	1.0 ± 0	4	44.4	2.5 ± 1.29	0	0	0
Post-stroke patients	2	33.3	1.0 ± 0	1	16.7	1.0 ± 0	0	0	0	1	16.7	1.0 ± 0	1	16.7	3.0 ± 0	2	33.3	2.5 ± 2.12	1	16.7	4.0 ± 0
Tinnitus sufferers	8	80	1.13 ± 0.35	1	10	1.0 ± 0	3	30	1.0 ± 0	0	0	0	0	0	0	3	30	1.33 ± 0.58	1	10	1.0 ± 0
Patients total	16	64	1.31 ± 0.60	3	12	1.33 ± 0.58	5	20	1.4 ± 0.89	2	8	1.5 ± 0.70	2	8	2.0 ± 1.41	9	36	2.11 ± 1.27	2	8	2.5 ± 2.12
Healthy subjects	56	72.7	1.86 ± 0.86	28	36.4	1.63 ± 0.74	17	22.7	1.65 ± 0.93	14	18.2	1.4 ± 0.74	3	3.9	1.0 ± 0	27	35.1	2.19 ± 1.08	9	11.7	1.56 ± 0.88
Participants total	72	70.6	1.74 ± 0.84	31	30.4	1.6 ± 0.72	22	21.6	1.59 ± 0.91	16	15.7	1.41 ± 0.71	5	4.9	1.4 ± 0.89	36	35.3	2.17 ± 1.11	11	10.8	1.73 ± 1.10

Participants	Nervousness			Changes in visual perception			Unpleasant sensation				tion, associated with of the stimulation	Difference b stimulations		Others				
	N	%	Mean intensity	N	%	Mean intensity	N	%	Mean intensity	N	%	N	%	N		N		
Migraine patients	1	11.1	1.0 ± 0	0	0	0	2	22.2	1.0 ± 0	3	33.3	4	44.4	-		-		
Post-stroke patients	0	0	0	0	0	0	1	16.7	1.0 ± 0	1	16.7	1	16.7			-		
Tinnitus sufferers	0	0	0	0	0	0	0	0	0	0	0	1	10	1	Drowsiness	-		
Patients total	1	4	1.0±0	0	0	0	3	12	1.0 ± 0	4	16	6	24	1	Drowsiness	-		
Healthy subjects	4	5.2	1.0 ± 0	0	0	0	15	19.5	1.29 ± 0.47	7	9.1	11	14.3	1	Drowsiness	1	Nausea	
Participants total	5	4.9	1.0 ± 0	0	0	0	18	17.7	1.24 ± 0.44	11	10.8	17	16.7	2	Drowsiness	1	Nausea	

Safety and tolerability of tDCS II

BRAIN



journal homepage: www.brainstimjrnl.com

Safety of Transcranial Direct Current Stimulation: Evidence Based Update 2016

Marom Bikson ^{a,*}, Pnina Grossman ^a, Chris Thomas ^a, Adantchede Louis Zannou ^a, Jimmy Jiang ^a, Tatheer Adnan ^a, Antonios P. Mourdoukoutas ^a, Greg Kronberg ^a, Dennis Truong ^a, Paulo Boggio ^b, André R. Brunoni ^c, Leigh Charvet ^d, Felipe Fregni ^e, Brita Fritsch ^{f,g}, Bernadette Gillick ^h, Roy H. Hamilton ^{i,j,k}, Benjamin M. Hampstead ^{l,m}, Ryan Jankord ⁿ, Adam Kirton ^o, Helena Knotkova ^{p,q}, David Liebetanz ^r, Anli Liu ^s, Colleen Loo ^t, Michael A. Nitsche ^{r,uv}, Janine Reis ^{f,g}, Jessica D. Richardson ^{e,w,x}, Alexander Rotenberg ^{y,z}, Peter E. Turkeltaub ^{aa,ab}, Adam J. Woods ^{ac}

This review updates and consolidates evidence on the safety of transcranial Direct Current Stimulation (tDCS). Safety is here operationally defined by, and limited to, the absence of evidence for a Serious Adverse Effect, the criteria for which are rigorously defined. This review adopts an evidencebased approach, based on an aggregation of experience from human trials, taking care not to confuse speculation on potential hazards or lack of data to refute such speculation with evidence for risk. Safety data from animal tests for tissue damage are reviewed with systematic consideration of translation to humans. Arbitrary safety considerations are avoided. Computational models are used to relate dose to brain exposure in humans and animals. We review relevant dose-response curves and dose metrics (e.g. current, duration, current density, charge, charge density) for meaningful safety standards. Special consideration is given to the- oretically vulnerable populations including children and the elderly, subjects with mood disorders, epilepsy, stroke, implants, and home users. Evidence from relevant animal models indicates that brain injury by Direct Current Stimulation (DCS) occurs at predicted brain current densities (6.3–13 A/m2) that are over an order of magnitude above those produced by conventional tDCS. To date, the use of conventional tDCS protocols in human trials (≤40 min, ≤4 milliamperes, ≤7.2 Coulombs) has not produced any reports of a Serious Adverse Effect or irreversible injury across over 33,200 sessions and 1000 subjects with repeated sessions. This includes a wide variety of subjects, including persons from potentially vulnerable populations.

Conclusion - Safety and tolerability of tDCS

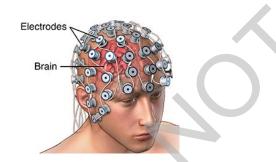
- Well tolerated, no serious adverse effects
- Applies to conventional protocols
- Side effects can be monitored by tDCS questionnaires (e.g. Poreisz et al. 2007)
- Side effects like skin burns reported caused by inappropriate application

Monitoring physiological effects of tDCS - preconditions

- Participants in relaxed, stable state
- Test session might help
- Avoid unintended interference effects in case of multiple sessions
- Avoid interference effects between stimulation and monitoring method

Monitoring physiological effects of tDCS methods

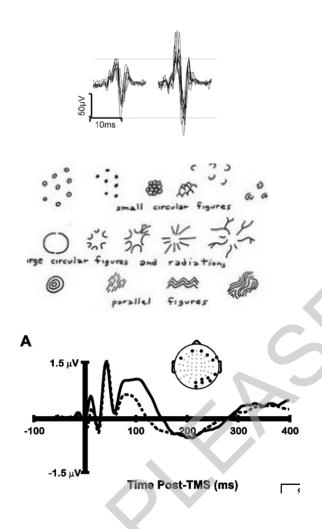






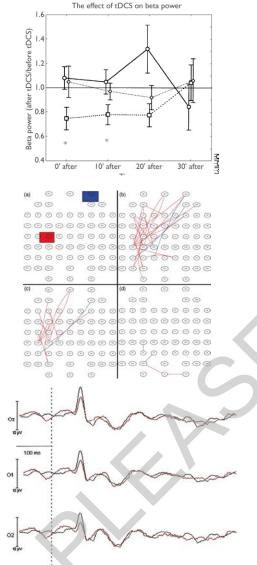
- Cortical excitability
 Motor evoked potentials
 Visual phosphenes
 EP
 BOLD
 TMS-EEG
 ERP
 ASL
 MRS
 - Structural MRI

Monitoring physiological effects of tDCS -TMS



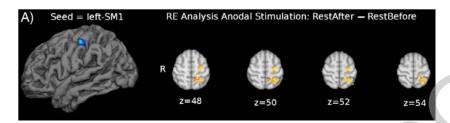
- •Reliable hot spot and coil position
- •Reliable baseline
- Constant state throughout experiment
- Sufficient number of stimuli (20 or more)
- •No muscle activity before TMS
- •TMS EEG over regions which do not induce relevant muscle contraction

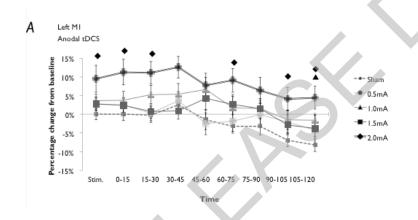
Monitoring physiological effects of tDCS -EEG



- •Online or offline
- •Online: cave artifacts, no EEG electrodes under stimulation electrodes
- •Offline: cave conductivity alterations at former tDCS electrode positions
- •Solution: integrated approaches with recording/stimulation electrodes

Monitoring physiological effects of tDCS -MRI





•Online or offline

•Online: cave artifacts, MRI-suited tDCS system required

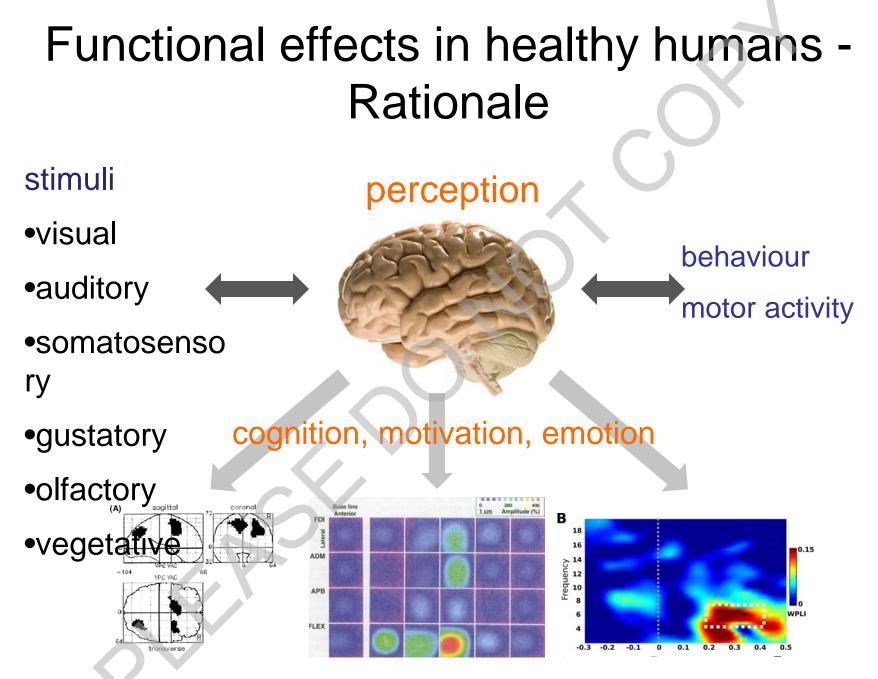
•Offline: tDCS outside scanner will cause delay, and enhance "noise" due to altered head position

•No saline-moisted sponges (will get dry)

- •Mark electrode positions with oil capsules
- •Cables parallel to magnet bore
- •Sufficient sample size Polania et al. 2011, Jamil et al. submitted

Conclusion - Monitoring physiological effects of tDCS

- Couple of methods are available
- Different temporal and spatial sensitivity
- Different restrictions with regard to areas
- Specific considerations to be followed to receive reliable results



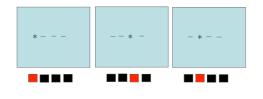
Honda et al. 1998, Pascual-Leone et al. 1994, Polania et al. 2012

Functional effects in healthy humans – relevant factors

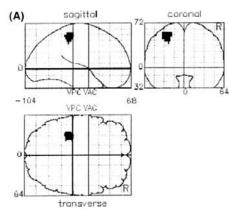
- Timing of stimulation
- Stimulated area
- Type of task
- Bottom vs ceiling effects

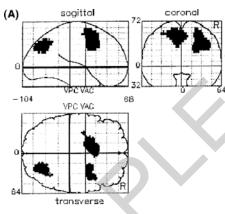
Timing and area of stimulation

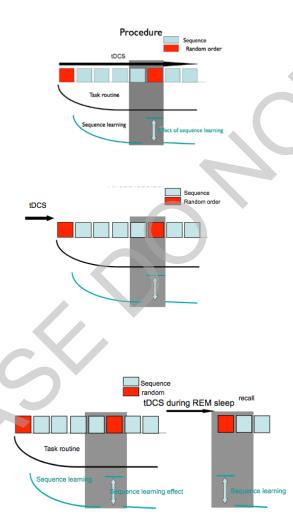
Serial reaction time task (SRTT)

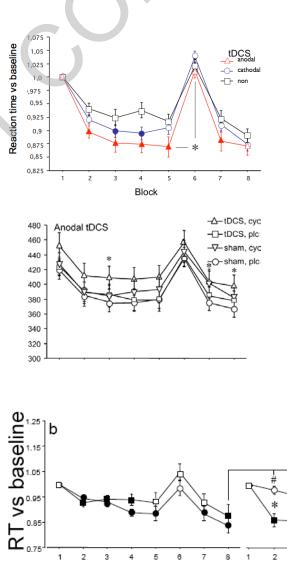


12 stimuli, 10 times repetition per block

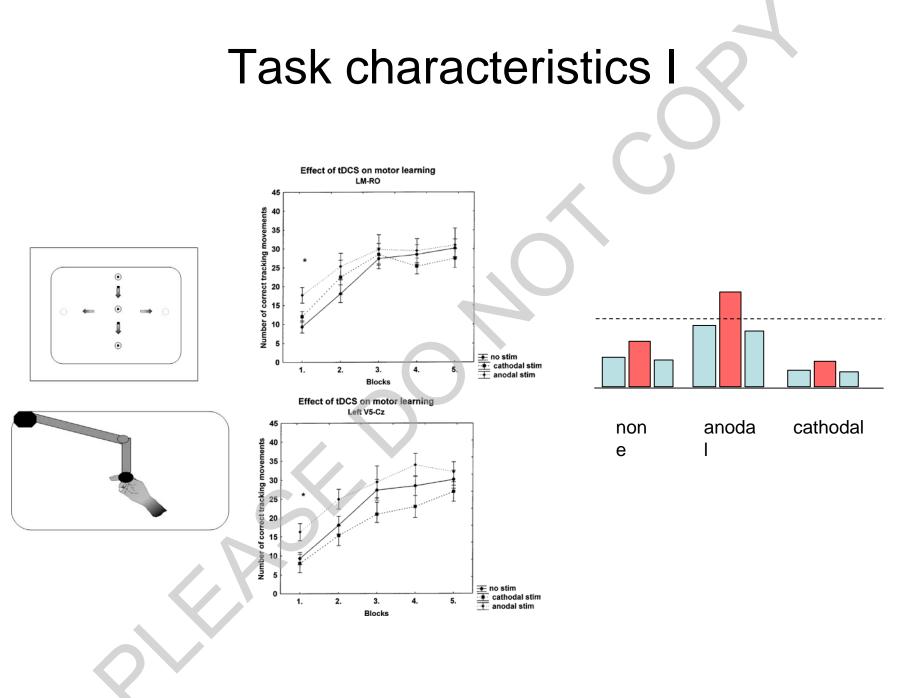






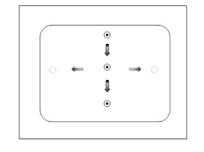


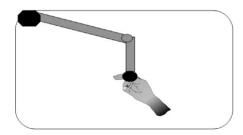
learningrecallKuo et al. 2008, Nitsche et al. 2003, 2010

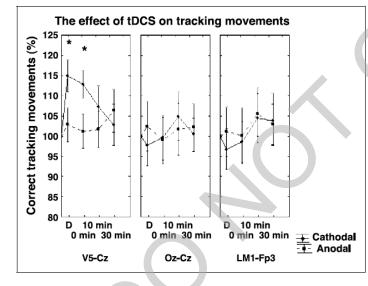


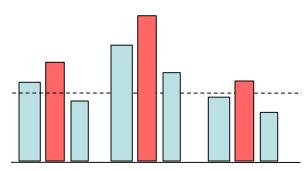
Antal et al. 2004a,b

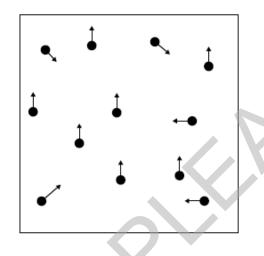
Task-characterisitics II

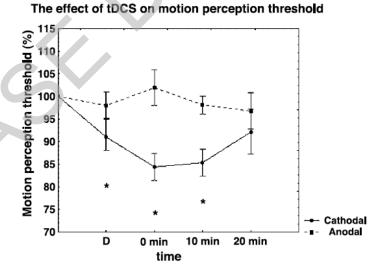








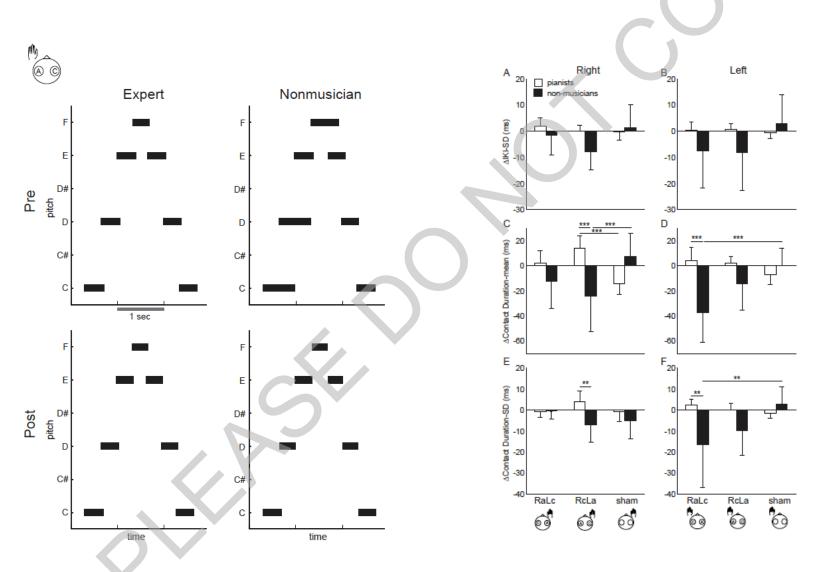




non anoda cathodal e l

Antal et al. 2004a,b

Ceiling effect – level of expertise



Furuya et al., in 2014

Functional effects in healthy humans

- Timing and area of stimulation should be adjusted to task-related physiology
- Task specifics affect stimulation impact
- Task should not be prone to bottom or ceiling effects
- Relatively fragile neuromodulatory effects; enhancing efficacy by repetition, and titration?

Functional effects in patients

Common rationale: Restitution of disturbed activity/excitability



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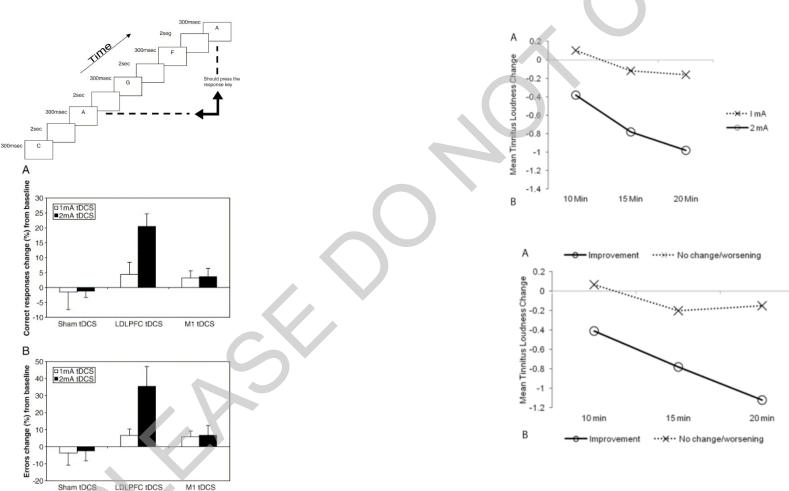
⁶ School of Behavioral and Brain Sciences, The University of Texas at Dallas, TX 75235, USA

Flöel 2014, Kuo et al. 2014

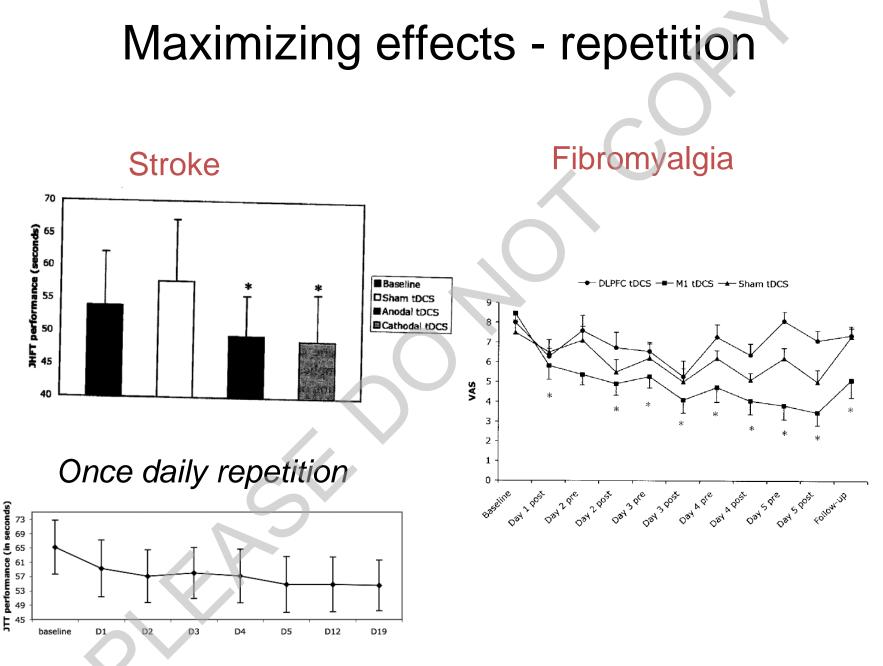
Maximizing effects - titration

PD - Intensity

Tinnitus - duration

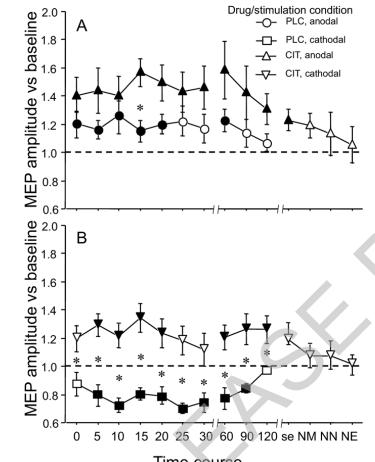


Batsikadze et al. 2013, Boggio et al. 2006



Boggio et al. 2007, Fregni et al. 2006

Maximizing effects – combination



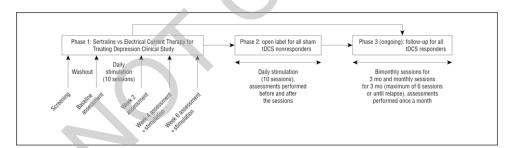


Table 1. Montgomery-Asberg Depression Rating Scale Scores at Different Times

Group or Factor	Baseline Mean (SD)	Week 2		Week 4		Week 6	
		Mean (SD)	% (SD) ^a	Mean (SD)	% (SD) ^a	Mean (SD)	% (SD) ^a
Group							
Sham tDCS and placebo	30.76 (5.31)	21.37 (10.06)	-30.2 (30.7)	22.56 (9.50)	-24.1 (36.1)	24.73 (8.65)	-18.2 (29.0)
Sham tDCS and sertraline	30.50 (6.81)	22.10 (11.50)	-28.9 (30.1)	22.83 (11.03)	-25.2 (34.5)	21.67 (13.14)	-29.8 (36.7)
Active tDCS and placebo	30.76 (5.78)	20.53 (9.59)	-34.0 (26.8)	19.33 (10.41)	-37.9 (29.5)	19.07 (12.21)	-39.5 (34.2)
Active tDCS and sertraline	30.73 (6.72)	15.53 (7.90)	-48.5 (23.5)	15.70 (7.98)	-46.9 (25.7)	13.17 (8.46)	-55.6 (27.3)
P value ^b	.99	.01	. ,	.01	. ,	<.001	. ,
Factor							
No sertraline	30.76 (5.51)	20.95 (9.70)	-32.1 (28.6)	20.95 (10.02)	-31.0 (33.3)	21.90 (10.88)	-28.8 (33.3)
Sertraline	30.61 (6.71)	18.81 (10.32)	-38.7 (28.6)	19.27 (10.20)	-36.1 (32.5)	17.14 (11.77)	-42.7 (34.8)
P value ^b	.89	.25		.36		.03	
No tDCS	30.63 (6.10)	21.73 (10.71)	-29.6 (30.9)	22.70 (10.21)	-24.7 (34.8)	23.20 (11.14)	-24.0 (33.3)
tDCS	30.75 (6.22)	18.03 (9.02)	-41.2 (25.6)	17.52 (9.38)	-42.4 (27.9)	16.11 (10.83)	-47.6 (31.7)
P value ^b	.91	.04	()	.003	()	.001	. ,

Time course

Nitsche et al. 2009

Brunoni et al. 2012

Functional effects in patients - specifics

- Parameters such as stimulation intensity, duration, repetition and combination can be adjusted to optimize effects
- The brain state of patients differ, and should be taken into account

Concluding remarks

- Although seemingly simple to apply, tDCS studies require careful planning and conduction
- Technical aspects of the intervention are often not taken sufficiently in account
- Design aspects are critical for successful conduction
- As neuromodulatory interventions, plasticity-inducing NIBS might be especially vulnerable to protocol problems
- Most of the aspects discussed here are not specific to tDCS, but apply also to other NIBS protocols, and neuromodulatory interventions.